



For intermediaries only

Aegon Platform transaction history

March 2021

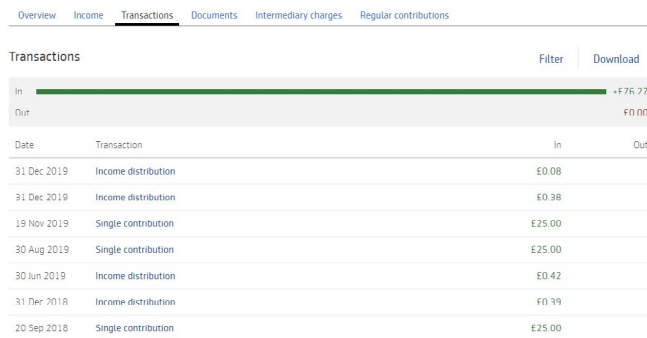
Key features of transaction history

- **Simplified view of transaction history** – reduces the number of entries we show to make it easier to view at a glance.
- **Transaction grouping** – the summary view shows high level transaction details (for example, switch funds). You can then drill down by selecting the transaction to show detailed line items associated with it.
- **Removal of pending transactions once they complete** – after pending transactions complete we'll remove them and replace with the completed transaction.
- **Filtering** – narrow down your results using three categories of **Transaction type**, **Tax year** and **Date range**.
- **Totals** – our totals feature shows the total money in and out since your clients' Aegon Platform account was set up. If you apply filters you'll see the total values for just the filtered transactions.
- **Clear naming for the most frequent transaction types** – a simplified flow of transactions and clear titles for transactions.

Transaction history on the Aegon Platform

When you sign in, go to **Product summary** and under **Transactions** you'll see an overview of transaction history.

Transaction history overview



Date	Transaction	In	Out
31 Dec 2019	Income distribution	£0.08	
31 Dec 2019	Income distribution	£0.38	
19 Nov 2019	Single contribution	£25.00	
30 Aug 2019	Single contribution	£25.00	
30 Jun 2019	Income distribution	£0.42	
31 Dec 2018	Income distribution	£0.99	
20 Sep 2018	Single contribution	£25.00	

Our transaction history provides a simplified view of transaction history with clear labelling and grouping of items that relate to a transaction.

Transaction history – expanded view

Select a transaction grouping from the initial view to see all the details.

22 Nov 2018 **Single contribution** £1,500.00

Reference: 1786446898234347

Transaction	Fund	In	Out
Payment for asset purchase 23 Nov 2018	Cash Units 1,500.0000000000 Price 1.0000000000		-£1,500.00
Asset purchase 22 Nov 2018	BlackRock Corporate Bond D Acc Units 1301.23456789102 Price 1.15275142316	£1,500.00	
Contribution 22 Nov 2018	Cash Units 1,500.0000000000 Price 1.0000000000	£1,500.00	

In this example, selecting **Single contribution** shows all the related transactions.

14 Oct 2018 **Switch**

Reference: 1786446898234347

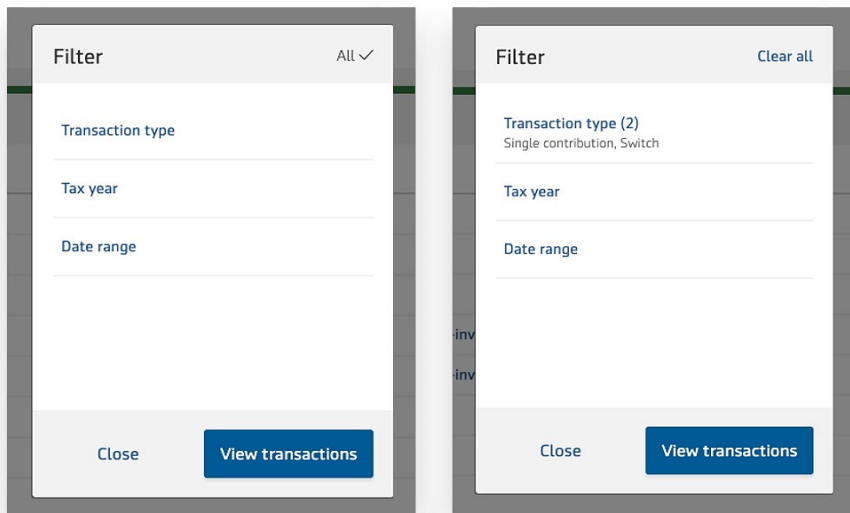
Transaction	Fund	In	Out
Switch In 17 Oct 2018	Ballie Gifford American B Acc Units 2,104.87291827356 Price 1.32209881929	£2,782.85	
Switch in 16 Oct 2018	Aberdeen Emerging Markets Equity I Acc Units 5,701.20391726731 Price 1.20574708426	£6,874.21	
Switch in 16 Oct 2018	Aberdeen Japan Equity I Acc Units 2,302.92310173423 Price 1.50219952987	£3,459.45	
Switch out 14 Oct 2018	Artemis Income R Inc Units 6,971.19823748195 Price 1.18971225856		-£8,293.72
Switch out 14 Oct 2018	BlackRock Cont Eurpn Inc D Acc Units 1,091.48093849526 Price 1.49269670458		-£1,629.25
Switch out 14 Oct 2018	BlackRock Corporate Bond D Acc Units 2,782.10283647586 Price 1.14789430431		-£3,193.56

In this example, selecting **Switch** shows all the related transactions.

Transaction history filtering

Using the filter in transaction history you can narrow down your results based on three types of categories:

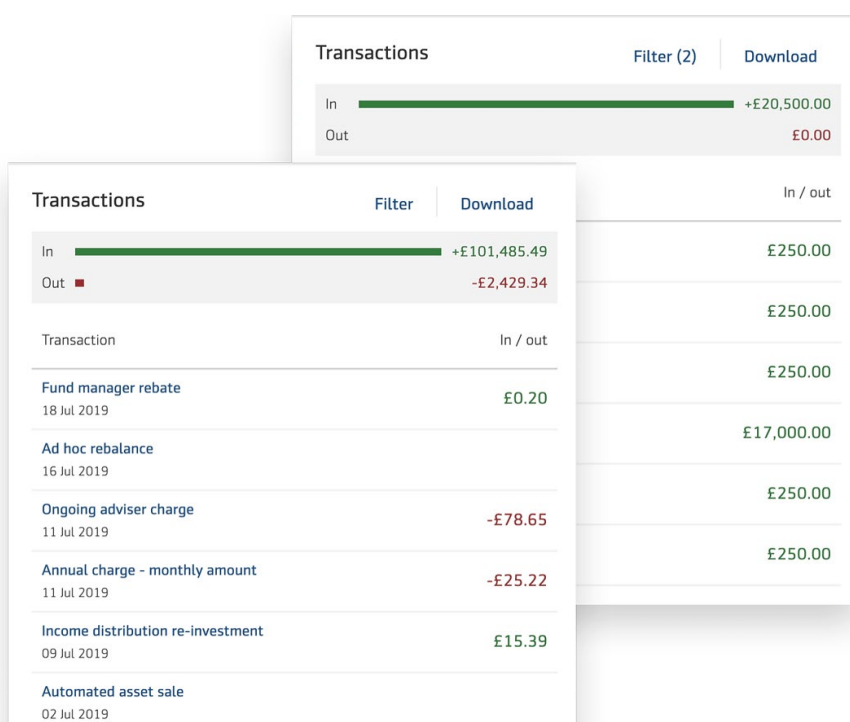
- Transaction type
- Tax year
- Date range



- Ability to filter your full transaction history – to see just the records you’re interested in at any point of viewing transactions.
- The options available are relative to a client’s history - so we don’t include options that would return no results.
- Our design automatically adapts to display on phone, laptop, PC, tablet etc.
- **All** and **Clear** options to either add all or remove all filters you apply.

Transaction history totals

Our totals feature shows the total money in and out since your clients’ Aegon Platform account was set up. As these values are dynamic if you select any filters then the total values we show are just for the filtered transaction types or dates.



Transactions		Filter (2)	Download
In	<div style="width: 100%;"></div>	+£20,500.00	
Out	<div style="width: 0%;"></div>	£0.00	

Transactions		Filter	Download
In	<div style="width: 100%;"></div>	+£101,485.49	
Out	<div style="width: 2%;"></div>	-£2,429.34	
Transaction		In / out	In / out
Fund manager rebate		£0.20	£250.00
18 Jul 2019			£250.00
Ad hoc rebalance			£250.00
16 Jul 2019			£17,000.00
Ongoing adviser charge		-£78.65	£250.00
11 Jul 2019			£250.00
Annual charge - monthly amount		-£25.22	
11 Jul 2019			
Income distribution re-investment		£15.39	
09 Jul 2019			
Automated asset sale			
02 Jul 2019			

Transaction history groupings

We've listed the key groupings for transaction history below.

Money in	Transfers (continued)
Single contribution (GIA or ISA)	Transfer out returned
Regular contribution (GIA or ISA)	Transfer in (ISA / GIA)
Regular contribution (pension)	Transfer in (pension)
Regular contribution (pension – employer)	Transfer in returned
Single contribution (pension)	Charges
Single contribution (pension – employer)	Ad hoc adviser charge
Contribution (pension)	Initial adviser charge
Contribution returned	Initial adviser charge – fixed term
Regular contribution returned	Ongoing adviser charge
Additional permitted subscription	Service charge
Money out	Initial adviser charge adjustment
Asset sale	Ongoing adviser charge adjustment
Automated asset sale	Service charge adjustment
Withdrawal	Annual charge – monthly amount
Withdrawal returned	Annual charge – half-yearly amount
Regular withdrawal	Annual charge adjustment
Withdrawal for product change	Adjustments
Withdrawal (from pension via product provider)	Asset adjustment
Switch	Cash adjustment
Switch	Interest/corporate actions/distributions/rebates
Recurring switch	Fund manager rebate
Ad hoc rebalance	Rebate payment
Regular rebalance	Interest received
Conversion	Income distribution re-investment
GIA to ISA	Income distribution
Transfers	Consolidated natural income
Opening balance	Consolidated natural income returned
Asset transfer	Corporate action – fund manager
Re-registration in	Corporate action – fund conversion
Re-registration out	Corporate action – fund closure
Transfer out	

Questions and answers

Q: How often do you update transaction history?

A: We update transaction history overnight so you'll see transactions in respect of the previous business day.

Visit cofunds.aegon.co.uk for more information.